

Transnet Freight Rail, a division of

TRANSNET SOC LTD

Registration Number 1990/000900/30 [hereinafter referred to as **Transnet**]

REQUEST FOR QUOTATION [RFQ] No BFX/53118

FOR THE SUPPLY/PROVISION OF: REMOVE EXACTING GRIT FROM 3KV
TRACTION SUBSTATION AND REPLACE WITH CLEAN SIFTED WASHED GRIT AT
LEEUSTROOM

FOR DELIVERY TO: LEE ISTROOM

ISSUE DATE: STAUGUST 2014
CLOSING DATE 9 AUGUST 2014

CLOSING TIME 10:00

COMPUL ORY SITE MEETING (Respondents failing to attend will be disqualified)

VENCE: LEEUSTROOM SUBSTATION

DATE: 12 AUGUST 2014

TIME: 11H00

ANNEXURE (A) B-BBEE PREFERENCE POINTS CLAIM FORM

ANNEXURE (B) SPECIFICATIONS

APPENDIX (C) SAFETY ARRANGEMENTS (ACT 85 OF 1993)

APPENDIX (D) SPECIFICATION FOR WORKS ON, UNDER OR AJACENT TO RAILWAY LINES AND NEAR HIGH VOLTAGE EQUIPMENT

Section 1 NOTICE TO BIDDERS

Quotations which must be completed as indicated in Section 2 of this RFQ are to be submitted as follows:

METHOD: COURIER OR BY HAND

CLOSING VENUE: The Secretariat

Acquisition council

Admin Support Office

Tender Box Office no 2

Real Estate Management Building

Austen Street Beaconsfield Kimberley

Contact Person Maggie Pain 53 - 838 3341

ISSUE DATE AND COLLECTION DATE DEADLINE

Between 09:00 and 15.00 from 04 August 2014 until 18 August 2014.

1 Responses to RFQ

Responses to this RF2 [Ou tations] must not include documents or reference relating to any other quotation or propositional conditions must be embodied in an accompanying letter.

2 Broad-Based Nack conomic Empowerment [B-BBEE]

Translate ully endorses and supports the Government's Broad-Based Black Economic Empowerment Rogi minitand it would therefore prefer to do business with local business enterprises who share these similar values. Transnet will accordingly allow a "preference" to companies who provide a valid B-BBEE Verification Certificate. All procurement transactions will be evaluated accordingly.

2.1 B-BBEE Scorecard and Rating

As prescribed in terms of the Preferential Procurement Policy Framework Act (PPPFA), Act 5 of 2000 and its Regulations, Respondents are to note that the following preference point systems are applicable to all bids:

- the 80/20 system for requirements with a Rand value of up to R1 000 000 (all applicable taxes included); and
- the 90/10 system for requirements with a Rand value above R1 000 000 (all applicable taxes included).

Respondent's Signature Date & Company Stamp

 Bidders are to note that if the 80/20 preference point system is stipulated in this RFP and all Bids received exceed R1 000 000.00, the RFP must be cancelled. Similarly, if the 90/10 preference point system is stipulated in this RFP and all Bids received are equal to or below R1 000 000.00, the RFP must be cancelled.

The value of this bid is estimated to be below R1000 000 (all applicable taxes included)); and therefore the **80/20** system shall be applicable.

When Transnet invites prospective suppliers to submit Proposals for its various expenditure programmes, it requires Respondents to have their B-BBEE status verified in compliance with the Codes of Good Practice issued in terms of the Broad Based Black Economic Empowerment Act No. 53 of 2003.

The Department of Trade and Industry recently revised the Codes of Good Practice on 11 October 2013 [Government Gazette No. 36928]. The Revised Codes Win collace the Black Economic Empowerment Codes of Good Practice issued on 9 February 2007. The Revised Codes provide for a one year transitional period starting 11 October 2013 Carring the transitional period, companies may elect to be measured in terms of the Revised Codes of the 2007 version of the Codes. After the first year of the implementation of the Revised Codes B-BBEE compliance will be measured in terms of the Revised Codes without any discretion. Companies which are governed by Sector-specific Codes will be measured in terms of those Sector Codes.

As such, Transnet will accept B-BBEE certificates issued based on the Revised Codes. Transnet will also continue to accept B-BBEE certificates issued in terms of the 2007 version of the Codes provided it was issued before 10 Getober 2014. Thereafter, Transnet will only accept B-BBEE certificates issued based on the Pavised Codes.

Respondents are relative to complete Annexure A [the B-BBEE Preference Point Claim Form] and submit it together with proof of their B-BBEE Status as stipulated in the Claim Form in order to obtain preference points for their B-BBEE status.

Note: Tailore to submit a valid and original B-BBEE certificate or a certified copy thereof at the Closing Date of this RFQ will result in a score of zero being allocated for BBEE.

Pofer clause19 below for Returnable Documents required]

3 Communication

Respondents are warned that a response will be liable for disqualification should any attempt be made by a Respondent either directly or indirectly to canvass any officer(s) or employee of Transnet in respect of this RFQ between the closing date and the date of the award of the business.

A Respondent may, however, before the closing date and time, direct any written enquiries relating to the RFQ to the following Transnet employee:

Name:

Jakkie Kotze

Email: Jakkie.k

Jakkie.kotze@transnet.net

Respondents may also, at any time after the closing date of the RFQ, communicate with the Secretariat of the Transnet Acquisition Council on any matter relating to its RFQ response:

Telephone

053 - 838 3341

Email:

Maggie.pain@transnet.net

4 Tax Clearance

The Respondent's original and valid Tax Clearance Certificate must accompany the Quotation. Note that no business shall be awarded to any Respondent whose tax matters have not been declared by SARS to be in order.

5 VAT Registration

The valid VAT registration number must be stated here: ______ [if applicable].

6 Legal Compliance

The successful Respondent shall be in full and complete compliance with any and all applicable national and local laws and regulations.

7 Changes to Quotations

Changes by the Respondent to its submission will not be considered after the closing date and time.

8 Pricing

All prices must be quoted in South African Rand on a fixed price basis, excluding VAT.

9 Prices Subject to Confirmation

Prices quoted which are subject to confirmation all not be considered.

10 Negotiations

Transnet reserves the right to undistable post-tender negotiations with selected Respondents or any number of shortlisted Respondents.

11 Binding Offer

Any Quotation fun ished pursuant to this Request shall be deemed to be an offer. Any exceptions to this statement must be clearly and specifically indicated.

12 Disclain ers

Ta since is not committed to any course of action as a result of its issuance of this RFQ and/or its receipt a Quotation in response to it. Please note that Transnet reserves the right to:

- modify the RFQ's goods / service(s) and request Respondents to re-bid on any changes;
- reject any Quotation which does not conform to instructions and specifications which are detailed herein;
- · disqualify Quotations submitted after the stated submission deadline;
- not necessarily accept the lowest priced Quotation;
- · reject all Quotations, if it so decides;
- place an order in connection with this Quotation at any time after the RFQ's closing date;
- award only a portion of the proposed goods / service/s which are reflected in the scope of this RFQ;
- split the award of the order/s between more than one Supplier/Service Provider; or
- make no award at all.

Respondent's Signature

Transnet reserves the right to award business to the highest scoring bidder/s unless objective criteria justify the award to another bidder.

13 Transnet's supplier integrity pact

Transnet's Integrity Pact requires a commitment from suppliers and Transnet that they will not engage in any corrupt and fraudulent practices, anti-competitive practices; and act in bad faith towards each other. The Integrity Pact also serves to communicate Transnet's Gift Policy as well as the remedies available to Transnet where a Respondent contravenes any provision of the Integrity Pact.

Respondents are required to familiarise themselves with the contents of the Integrity Pact which is available on the Transnet Internet site [www.transnet.net/Tenders/Pages/default.aspx] or on request. Furthermore, Respondents are required to certify that they have acquainted themselves with all the documentation comprising the Transnet Integrity Pact and that they fully comply with all the terms and conditions stipulated in the Transnet Supplier Integrity Pact as follows:



Should a Respondent need to declare previous transcressions as a serious breach of law in the preceding 5 years as required by Annexure A to the little ity Pact, such declaration must accompany the Respondent's bid submission.

14 Evaluation Criteria

Transnet will utilise the following triteria (not necessarily in this order) in choosing a Supplier/Service Provider, if so required:

Criterion/Criteria	Explanation
Administrative responsivenes	inpleteness of response and returnable documents
Substantive responsiveness	Prequalification criteria, if any, must be met and whether the Bid materially complies with the scope and/or specification given.
inal weighted evaluation based on 80/20 preference point system as indicated in	 Pricing and price basis [firm] - whilst not the sole factor for consideration, competitive pricing and overall level of unconditional discounts¹ will be critical B-BBEE status of company - Preference points will be awarded to a bidder for attaining the B-BBEE status level of contribution in accordance with the table indicated in Annexure A.
paragraph 2	

¹ Only unconditional discounts will be taken into account during evaluation. A discount which has been offered conditionally will, despite not being taken into account for evaluation purposes, be implemented when payment is effected.

Respondent's Signature Date & Company Stamp

15	Validity Period	
	Transnet desires a validity period of 90 [ninety] days from the closing date of this RF	Q.
	This RFQ is valid until	
16	Banking Details	
	BANK:	
	BRANCH NAME / CODE:	
	ACCOUNT HOLDER:	
	ACCOUNT NUMBER:	
17	Company Registration	
	Registration number of company / C.C.	
	Registered name of company / C.C.	
18	Disclosure of Prices Quoted	
	Respondents must indicate here whether Transnet may disclosute quoted price	es and conditions to
	other Respondents:	
	YES NO	
19	Returnable Documents	
	Returnable Documents means all the documents, Sections and Annexures, as	listed in the tables
	below.	
	a) Respondents are required to submit with their Quotations the mand	latory Returnable
	Documents, as detailed as ow.	
	Failure to provide all these Returnable Documents at the Closing Date	te and time of this
	RFQ will result a Respondent's disqualification. Respondents are	
	ensage that <u>all</u> these Documents are returned with their Quotations.	
	An Sections, as indicated in the footer of each page, must be signed, stamping	-
	espondent. Please confirm submission of these mandatory Returnable Docum	ents by so indicating
	Yes or No] in the table below:	
	Mandatory Returnable Documents	Submitted [Yes or No]
SE	CTION 2 : Quotation Form	

b) In addition to the requirements of section (a) above, Respondents are further required to submit with their Quotations the following **essential Returnable Documents** as detailed below.

Failure to provide all these Returnable Documents may result in a Respondent's disqualification. Respondents are therefore urged to ensure that <u>all</u> these documents are returned with their Quotations.

Respondent's Signature Date & Company Stamp

All Sections, as indicated in the footer of each page, must be signed, stamped and dated by the Respondent. Please confirm submission of these essential Returnable Documents by so indicating [Yes or No] in the table below:

Essential Returnable Documents	Submitted [Yes or No]
SECTION 1 : Notice to Bidders	
 Valid and original B-BREE Verification Certificate or certified copy thereof [Large Enterprises and QSEs] 	
Note: failure to provide a valid B-BBEE Verification Certificate at the closing date and time of the RFQ will result in an automatic score of zero for preference	
 Valid and original B-BBEE certificate/sworn affidavit or certified copy thereof from auditor, accounting officer or SANAS accredited Verification Agency [EMEs] 	
Note: failure to provide a valid B-BBEE Verification Certificate at the closing date and time of the RFQ will result in an automatic more of the being allocated for preference	
 In the case of Joint Ventures, a copy of the Joint Venture Agreement or written confirmation of the intention to enter into a Joint Venture Agreement 	
 Original valid Tax Clearance Certificate [Conse tia / Joint Ventures must submit a separate Tax Clearance Certificate (R) each party] 	
 SECTION 3: Standard Terms and Conditions of Contract for the Supply of Services to Transnet 	
SECTION 4 : Vendor Application For a	
- Original cancelled cheque of bank verification of banking details	
- Certified copies of IDs of the cholder/directors/members [as applicable]	
 Certified copies of the Pelevant company registration documents from Companies and interest al Property Commission (CIPC) 	
- Certified copies of the company's shareholding/director's portfolio	
- Entity/ etterhead	
- Comed on of VAT Registration Certificate [RSA entities only]	
- Cyr. (ed copy of valid Company Registration Certificate [if applicable]	
signed letter from Respondent's auditor or accountant confirming most recent annual turnover figures	
ANNEXURE A – B-BBEE Preference Points Claim Form	
ANNEXURE B - Specifications	
ANNEXURE C – Safety Arrangements (Act 85 of 1993)	
ANNEXURE D – Specifications working adjacent Railway lines or near High Voltage (E7/1)	

c) In addition to the requirements of paragraph a) and b) above, Respondents are further requested to submit with their Proposals the following **additional documents** as detailed below.

Please confirm submission of these additional documents by so indicating [Yes or No] in the table below:

Additional Document	SUBMITTED [Yes or No]
Valid Letter of Goods Standing	
Valid Tax Clearance Certificate	

Section 2 **QUOTATION FORM**

I/We	
hereby offer to supply the goods/services at the prices quoted in the Price Schedule below, in accordance	ce
with the conditions related thereto.	

I/We agree to be bound by those terms and conditions in:

- the Standard Terms and Conditions for the Supply of Goods or Services to Transnet [Section 3 hereof];
- any other standard or special conditions mentioned and/or embodied in this Request for Quotation.

I/We accept that unless Transnet should otherwise decide and so inform the us, this Quotation [and, if any, its covering letter and any subsequent exchange of correspondence], together with Transnet's acceptance thereof shall constitute a binding contract between

I/We further agree that if, after I/we have been notified on the acceptance of my/our Quotation, I/we fail to deliver the said goods/service/s within the delivery lead-time quoted, Transnet may, without prejudice to any other legal remedy which it may have rencer the order and recover from me/us any expenses sh and/or having to accept any less favourable offer. incurred by Transnet in calling for Quotations a

ce Schedule

ed, on a "delivered nominated destination" basis, excluding I/We quote as follows for the goods

ltem	Description	U	Q	Rate Price
Α	LEEUSTROOM 3 kV Substation		N/AL 2	
1	Remove existing grit from outdoor yard	m³	145	
2	Supply dean sifted washed 23 mm grit	m³	145	
3	oread dean washed 23 mm grit	m³	145	
4	Jecurity	sum	1	
5	e's and G's	sum	1	
В	Total Price for LEEUSTRO	OM =	R	
С	VAT (14 % c	of B) =	R	microscoporovación————————————————————————————————————
D	Gross Total (B	+ C) =	R	

Note: 1m3 = 18 Wheelbarrows

Delivery Lead-Time from date of purchase order:	[days/weeks]
Respondent's Signature	Date & Company Stamp

[daye/wooke]

Notes to Pricing:

- a) All Prices must be quoted in South African Rand, exclusive of VAT
- b) To facilitate like-for-like comparison bidders must submit pricing strictly in accordance with this price schedule and not utilise a different format. Deviation from this pricing schedule could result in a bid being disqualified.
- c) Please note that should you have offered a discounted price(s), Transnet will only consider such price discount(s) in the final evaluation stage if offered on an unconditional basis.



Section 3

STANDARD TERMS AND CONDITIONS FOR THE SUPPLY OF GOODS OR SERVICES TO TRANSNET

A Supplier/Service Provider shall be obliged to adhere to the Standard Terms and Conditions for the Supply of Goods and Services to Transnet as expressed hereunder. Should the Respondent find any condition(s) unacceptable, it should indicate which condition(s) is/are unacceptable and offer an alternative(s). A Quotation submitted by a Respondent will be subjected to review and acceptance or rejection of its proposed contractual terms and conditions by Transnet's Legal Counsel, prior to consideration for an award of business.

1 SOLE AGREEMENT

Unless otherwise agreed in writing, these terms [Terms and each Term] and Transnet's purchase order(s) [Order or Orders] represent the only conditions upon which Transnet Society [Transnet] procures goods [the Goods] or services [the Services] specified in the Order from the person to whom the Order is addressed [the Supplier/Service Provider]. Transnet does for the order any other conditions which the Supplier/Service Provider may specify, unless otherwise agreed to by Transnet in writing. In the event of any inconsistency between these Terms and any Order, these Terms shall take precedence.

2 CONFORMITY WITH ORDER

Goods/Services shall conform strictly with the Order. The Supplier/Service Provider shall not vary the quantities specified and/or the specification, it any, stipulated in the Order, without the prior written consent of Transnet. The Supplier/Service regider warrants that the Goods/Services shall be fit for their purpose and of satisfactory quality.

3 DELIVERY AND TITLE.

- 3.1 The delivery of ter and addresses are those in the Order. Time shall be of the essence in respect of the Supplier s/Service Provider's obligations under the Order.
- 3.2 The Sup, lie /Service Provider will not be excused for delay in delivery or performance except due to chromstances outside its control and then only subject to the Supplier/Service Provider having otifica Transnet in writing on becoming aware of such circumstances. Transnet may terminate an Order, in whole or in part, without incurring any liability to the Supplier/Service Provider if such a delay becomes, in Transnet's absolute opinion, significant.
- 3.3 If on delivery, the Goods/Services do not conform to the Order, Transnet may reject the Goods/Services and the Supplier/Service Provider shall promptly rectify any defects or in Transnet's opinion, supply appropriate replacement Goods/Services at the Supplier's/Service Provider's expense within the specified delivery times, without any liability due by Transnet.

4 PRICE AND PAYMENT

4.1 Prices specified in an Order cannot be increased. Payment for the Goods/Services shall be made by Transnet against an original undisputed invoice(s) [a Tax Invoice], supporting documentation and month-end statement from the Supplier/Service Provider. Tax Invoices plus supporting documentation shall be posted to the address shown in the Order.

Respondent's Signature

4.2 Payment of the Supplier's/Service Provider's valid Tax Invoice(s) will be made by Transnet in the South African currency and on the terms stated in the Order, the standard payment terms being 30 [thirty] days from date of receipt by Transnet of a month-end statement, unless otherwise agreed to in writing. Transnet shall arrange for payment of such Tax Invoices and any pre-authorised additional expenses incurred, provided that the authorised expenses are supported by acceptable documentary proof of expenditure incurred [where this is available]. Any amounts due in terms of these Terms shall be paid to the Supplier/Service Provider, taking into account any deduction or set-off and bank charges.

5 PROPRIETARY RIGHTS LIABILITY

If any allegations should be made or any claim asserted against Transnet that evinership of, or any act or omission by Transnet in relation to Goods/Services or any written material provided to Transnet relating to any Goods/Services or pursuant to an Order being a violation or infringement of any third party's contractual, industrial, commercial or intellectual property rights including but not limited to any patent, registered design, design right, trade mark, copyright or service transnet on any application thereof, the Supplier/Service Provider hereby indemnifies Transnet against anathors it harmless from any and all losses, liabilities, costs, claims, damages and expenses [including any legal fees] arising directly or indirectly from such allegation or claim provided that this indemnity shall not apply where the allegation or claim arises solely as a result of the Supplier/Service Provider reliability.

- a) procure for Transnet the right to continue using the infringing Goods; or
- b) modify or replace the Goods/Stylice so that they become non-infringing,

provided that in both cases the Moods/Services shall continue to meet Transnet's requirements and any specifications stipulated in the Order. Should neither option be possible, the Supplier/Service Provider may remove, with Transnet's prior written consent, such Goods/Services and will pay to Transnet a sum equivalent to the purchase price. If Transnet refuses to give such consent, the Supplier/Service Provider shall have the liability in respect of any continued use of the infringing Goods/Services after Supplier VS rvice Provider's prior written request to remove the same.

6 CILTARY INFORMATION

information which Transnet has divulged or may divulge to the Supplier/Service Provider and any information relating to Transnet's business which may have come into the Supplier's/Service Provider's possession whilst carrying out an Order, and the existence of the Order, shall be treated by the Supplier/Service Provider as confidential information and shall not, without Transnet's prior written consent, be disclosed to any third party, or be used or copied for any purposes other than to perform the Order. This clause does not apply to information which is public knowledge or available from other sources other than by breach of this Term. Upon request by Transnet, the Supplier/Service Provider shall return all materials issued pursuant to the Order and, pending this, shall protect Transnet's rights in any such materials. Such confidential information shall at all material times be the property of Transnet.

7 PUBLICITY

The Supplier/Service Provider shall not name Transnet or use its trademarks, service marks [whether registered or not] or Goods in connection with any publicity without Transnet's prior written consent.

8 TERMINATION OF ORDER

- Transnet may cancel an Order in whole or in part at any time upon at least 7 [seven] days' written notice to the Supplier/Service Provider, or when there is a change in control of the Supplier/Service Provider or the Supplier/Service Provider commits any serious breach or any repeated or continued material breach of its obligations under these Terms and/or Order or shall have been guilty of conduct tending to bring itself into disrepute, on written notice to the Supplier/Service Provider when such work on the Order shall stop.
- Transnet shall pay the Supplier/Service Provider a fair and reasonable price for justified work in progress, where such price reflects only those costs not otherwise recoverable by the Supplier/Service Provider, at the time of termination, and the Supplier/Service Provider shall give Transnet full assistance to check the extent of such work in progress. Payment of such price shall be in full and final satisfaction of any claims arising out of such termination and upon such payment the Supplier/Service Provider shall deliver to Transnet all work, including any materials, completed or in progress. The sum payable to the Supplier/Service Provider under this clause will not in any event exceed the total amount that would have been payable to the Supplier/Service Provider had the Order not been tarminated.
- 8.3 In the event of termination are supplier/Service Provider must submit all claims within 2 [two] months of termination after which time claims will only be met in what Transnet considers exceptional circumstances.
- 8.4 If the Goods or Services the not provided in accordance with an Order, the Order shall be deemed terminated and the Sopplier/Service Provider shall compensate Transnet for any costs incurred in obtaining substitute Goods or any damage caused due to the failure or delay in the delivery.

9 ACCESS

The Supplier/Service Provider shall be liable for the acts, omissions and defaults of its personnel or agents when for the purposes of the Order, shall be treated as if they are the Supplier's/Service Provider's employees. The Supplier/Service Provider shall ensure that any such personnel or agents, whilst on Thomsnet's premises, shall comply with Transnet's health and safety, security and system security rules and procedures as and where required.

10 WARRANTY

The Supplier/Service Provider warrants that it is competent to supply the Goods/Services in accordance with these Terms to the reasonable satisfaction of Transnet and that all Goods/Services delivered under the Order: (a) conform and comply in all relevant legislation, standards, directives and orders related to [inter alia] the supply, Services in force at the time of delivery, and to any specifications referred to in the Order; (b) will not cause any deterioration in the functionality of any Transnet equipment; and (c) do not infringe any third party rights of any kind. The Supplier/Service Provider hereby indemnifies Transnet against all losses, liabilities, costs, claims, damages, expenses and awards of any kinds incurred or made against Transnet in connection with any breach of this warranty.

11 INSOLVENCY

If the Supplier/Service Provider shall have a receiver, manager, administrator, liquidator or like person appointed over all or any part of its assets or if the Supplier/Service Provider compounds with its creditors or passes a resolution for the writing up or administration of the Supplier/Service Provider, Transnet is at liberty to terminate the Order or Orders forthwith, or at its option, to seek performance by any such appointed person.

12 ASSIGNMENT

The Supplier/Service Provider shall not assign its obligations under an Order without Transnet's prior written consent, which consent shall not be unreasonably withheld or delayed.

13 NOTICES

Notices under these Terms shall be delivered by hand to the relevant addresse of the parties in the Order or may be served by facsimile or by email, in which event notice shall be deemed served on acknowledgement of receipt by the recipient.

14 LAW

Orders shall be governed by and interpreted in accordance with South African law and any disputes arising herein shall be subject to South African arbitration under the rules of the Arbitration Foundation of South Africa, which rules are deemed incorporated by reference in this clause. The reference to arbitration shall not prevent Transnet referring the matter to any bouth African courts, having jurisdiction, to which the Supplier/Service Provider hereby irrevocative shomits but without prejudice to Transnet's right to take proceedings against the Supplier/Service Provider in other jurisdictions and/or obtaining interim relief on an urgent basis from a court of competent jurisdiction pending the decision in other courts or from instituting in any court of competent jurisdiction any proceedings for an interdict or any other injunctive relief. If the Supplier/Service Provider tioes not have a registered office in the South Africa it will at all times maintain an agent for service of process in South Africa and shall give Transnet the name and address of such agent as such may be amended, in writing, from time to time.

15 GENERA

Completion of termination of an Order shall be without prejudice to any Term herein which by its nature would be deemed to continue after completion or termination, including but not limited to clauses Error! Reference source not found., Error! Reference source not found., Error! Reference source not found. Headings are included herein for convenience only. If any Term herein be held illegal or unenforceable, the validity or enforceability of the remaining Terms shall not be affected. No failure or delay by Transnet to enforce any rights under these Terms will operate as a waiver thereof by Transnet. All rights and remedies available to either party under these Terms shall be in addition to, not to the exclusion of, rights otherwise available at law.

16 COUNTERPARTS

These Terms and conditions may be signed in any number of counterparts, all of which taken together shall constitute one and the same instrument. Any party may enter into this agreement by signing any such counterpart.

Respondent's Signature Date & Company Stamp

By signing this RFQ document, the Respondent is deemed to acknowledge that he/she has made himself/herself thoroughly familiar with all the conditions governing this RFQ, including those contained in any printed form stated to form part hereof and Transnet SOC Ltd will recognise no claim for relief based on an allegation that the Respondent overlooked any such condition or failed to properly take it into account for the purpose of calculating quoted prices or otherwise.

SIGNED at	on this	day of		20
			A	
			1,,	
			4	
SIGNATURE OF RESPONDENT'S AUTHORI		ALATIVE		
NAME:		A A A A A A A A A A A A A A A A A A A		
DESIGNATION:				
REGISTERED NAME OF COMPANY:				
PHYSICAL ADDRESS:	0	•		
Respondent's contact person: [Please	complete]			
Name				
Designation				
Telephone :				
Cell Print :				
acsimile :	, , , , , , , , , , , , , , , , , , ,			
Email :				
Website :				

Transnet urges its clients, suppliers and the general public to report any fraud or corruption to TIP-OFFS ANONYMOUS: 0800 003 056

Section 3

VENDOR APPLICATION FORM

Transnet Supplier Declaration/Application

The Financial Director or Company Secretary

Transmet Vendor Management has received a request to load your company on to the Transmet vendor database. Please furnish us with the following to enable us to process this request:

- 1. Complete the "Supplier Declaration Form" (SDF) on page 2 of this letter
- 2. Original cancelled cheque OR letter from the bank verifying banking details with bank stamp)
- 3. Certified copy of Identity document of Shareholders/Directors/Members (where applicable)
- 4. Certified copy of certificate of incorporation, CM29 / CM9 (name change)
- Certified copy of share Certificates of Shareholders, CK1 / CK2 (if CC)
- 6. A letter with the company's letterhead confirming physical and postal addresses
- 7. Original or certified copy of SARS Tax Clearance certificate and Valregistration certificate
- 8. A signed letter from the Auditor / Accountant confirming most recent and all turnover and percentage black ownership in the company AND/OR BBBEE certificate and detailed scorecard from an accredited rating agency (SANAS member).
- №: Failure to submit the above documentation will delay the rendor creation process.
 - Where applicable, the respective Transnet business unit processing your application may request further information from you. E.g. proof of an excence of a Service/Business contract between your business and the respective Transnet business init etc.

IMPORTANT NOTES:

- If your annual turnover is less tvan R5 million, then in terms of the DTI codes, you are classified as an Exempted Micro Enterprise (EME). If your company is classified as an EME, please include in your submission, a signed letter from your Auditor / Accountant confirming your company's most recent annual turnover is less that R5 million and percentage of black ownership and black female ownership in the company AND/CR B3BL certificate and detailed scorecard from an accredited rating agency (e.g. permanent S4NAS In order), should you feel you will be able to attain a better BBBEE score.
- b) If your annual trincipal is between R5 million and R35million, then in terms of the DTI codes, you are classified as a Qualifying Small Enterprise (QSE) and you claim a specific BBBEE level based on any 4 of the 7 lements of the BBBEE score-card, please include your BEE certificate in your submission as ponfirmation of your status.

 NB: 183EE certificate and detailed scorecard should be obtained from an accredited rating agency as permanent SANAS Member).
- c) If your annual turnover is in excess of R35million, then in terms of the DTI codes, you are classified as a Large Enterprise and you claim a specific BEE level based on all seven elements of the BBBEE generic score-card. Please include your BEE certificate in your submission as confirmation of your
 - NB: BBBEE certificate and detailed scorecard should be obtained from an accredited rating agency (permanent SANAS Member).
- d) To avoid PAYE tax being automatically deducted from any invoices received from you, you must also contact the Transnet person who lodged this request on your behalf, so as to be correctly classified in terms of Tax legislation.
- e) Unfortunately, <u>No payments can be made to a vendor until</u> the vendor has been registered, and no vendor can be registered until the vendor application form, together with its supporting documentation, has been received and processed.
- f) Please return the completed Supplier Declaration Form (SDF) together with the required supporting documents mentioned above to the Transnet Official who is intending to procure your company's services/products in order that he/she should complete and Internal Transnet Departmental Questionnaire before referring the matter to the appropriate Transnet Vendor Master Office.

Supplier Declaration Form

Company Trading	n Name	TO 100 TO							
Company Registe									
·		Or ID Number If A	Sole Pro	porietor					
Form of entity	CC	Trust	Pty Ltc		<u> </u>	Partnersh	g ai	ole Propriet	tor
VAT number (if re			, .,	<u> </u>					
Company Teleph		<u></u>			>		September 1	999880 <u></u>	Market Strategy (Market Strategy (Market Strategy (Market Strategy (Market Strategy (Market Strategy (Market S Market Strategy (Market Strategy (Market Strategy (Market Strategy (Market Strategy (Market Strategy (Market S Market Strategy (Market Strategy (Marke
Company Fax Nu	***************************************	·							
Company E-Mail									
Company Websit									
Bank Name			Ban	k Accoun	t Number			1707	***********
Postal							,		
Address							Code	<u> </u>	
Physical							Č a da	. 1	
Address		±201/00000000000000000000000000000000000			Anny conservation		Code	<u> </u>	
Contact Person	v				Ť			//0/45	
Designation			1				***************************************		
Telephone			main						
Email			2000 AUG 1000 1000 1000 1000 1000 1000 1000 10						
Annual Turnover R			William		R5-35 r			R35 million	
Does Your Compa	iny Provide	Products			Services			Both	
Area Of Delivery		Natio lai			Provincial			ocal	
Is Your Company A Public Or Pr					Public		Private		
Does Your Company Have A Ta				Yes		<u> </u>	lo	İ	
Main Product Or Service Supplied (E.G.: Stationery/Consulting)									
BEE Ownership	Details	The state of the s							
% Black Ownership		Black women own	ership		% [Disabled perso ownership	n/s	HIOVIOL	
Does your compa	iny have a BE	E certificate		Yes		No)		
What is your broa	ic hased BEE	status (Level 1 to	9 / Un	known)					
How many proof	nnel does the	firm employ	Pe	rmanen	t]	Part t	ime		
Transnu C otaci	Person		·······			3,1031-1			
Cor (ac) number									
trans et operatin	g division								
Duly Authorised	To Sign For	And On Behalf (of Firm	/ Orga	nisation				
Name Designation									
Signature	Signature Date								
Stamp And Sign	Stamp And Signature Of Commissioner Of Oath								
Name		n/// 2015 n/m/n m/m/m///////////////////////////		D	ate				·
Signature	Signature Telephone No.								
						-,			

Replace 3kv grit with clean sifted washed grit at Leeustroom

ANNEXURE (A)

B-BBEE PREFERENCE POINTS CLAIM FORM

This preference form contains general information and serves as a claim for preference points for Broad-Based Black Economic Enipowerment [**B-BBEE**] Status Level of Contribution.

1. INTRODUCTION

- 1.1 A total of twenty preference points shall be awarded for B-BBEE Status Level of Contribution.
- 1.2 Failure on the part of a Bidder to fill in and/or to sign this form and submit a B-BBEE Verification Certificate from a Verification Agency accredited by the South African Accreditation System [SANAS] or a Registered Auditor approved by the Independent Regulatory Board of Auditors [IRBA] or an Accounting Officer as contemplated in the Gose Corporation Act [CCA] together with the bid will be interpreted to mean that reference points for B-BBEE Status Level of Contribution are not claimed.
- 1.3 Transnet reserves the right to require of a bidder, either before a Bid is adjudicated or at any time subsequently, to substantiate any claim in regard to preferences, in any manner required by Transnet.

2. GENERAL DEFINITIONS

- 2.1 "all applicable taxes" include value-added tax, pay as you earn, income tax, unemployment insurance fund contributions and skills development levies;
- 2.2 "B-BB-5" neans broad-based black economic empowerment as defined in section 1 of the Broad-Based black Economic Empowerment Act;
- 2.3 ***B BBE status of contributor*** means the B-BBEE status received by a measured entity based on its overall performance using the relevant scorecard contained in the Codes of Good Practice on Black Economic Empowerment, issued in terms of section 9(1) of the Broad-Based Black Economic Empowerment Act;
- 2.4 **"Bid"** means a written offer in a prescribed or stipulated form in response to an invitation by Transnet for the provision of goods, works or services;
- 2.5 **"Broad-Based Black Economic Empowerment Act"** means the Broad-Based Black Economic Empowerment Act, 2003 [Act No. 53 of 2003];
- 2.6 "comparative price" means the price after the factors of a non-firm price and all unconditional discounts that can utilised have been taken into consideration;
- 2.7 "consortium or joint venture" means an association of persons for the purpose of combining their expertise, property, capital, efforts, skills and knowledge in an activity for the execution of a contract:
- 2.8 "contract" means the agreement that results from the acceptance of a bid by Transnet;

- 2.9 "**EME**" means any enterprise with an annual total revenue of R5 [five] million or less as per the 2007 version of the B-BBEE Codes of Good Practice and means any enterprise with an annual total revenue of R10 [ten] million or less as per the Revised Codes of Good Practice issued on 11 October 2013 in terms of Government Gazette No. 36928;
- 2.10 "firm price" means the price that is only subject to adjustments in accordance with the actual increase or decrease resulting from the change, imposition, or abolition of customs and excise duty and any other duty, levy, or tax, which, in terms of the law or regulation, is binding on the contractor and demonstrably has an influence on the price of any supplies, or the rendering costs of any service, for the execution of the contract;
- 2.11 "functionality" means the measurement according to predetermined norms, as set out in the bid documents, of a service or commodity that is designed to be practical and useful, working or operating, taking into account, among other factors, the quality, inliability, viability and durability of a service and the technical capacity and ability of a bidder.
- 2.12 "non-firm prices" means all prices other than "firm" prices,
- 2.13 "person" includes reference to a juristic person;
- 2.14 "QSE" means any enterprise with an annual total revenue between R5 [five] million and R35 [thirty five] million as per the 2007 version of the B-BBEE Codes of Good Practice and means any enterprise with an annual total revenue on between R10 [ten] million and R50 [fifty] million as per the Revised Codes of Good Practice I sued in 11 October 2013 in terms of Government Gazette No. 36928
- 2.15 "rand value" means the total estimated value of a contract in South African currency, calculated at the time of bid invitations, and includes all applicable taxes and excise duties;
- 2.16 "subcontract" means the primary contractor's assigning or leasing or making out work to, or employing another person to support such primary contractor in the execution of part of a project in terms of the contract;
- 2.17 "total revenue" bears the same meaning assigned to this expression in the Codes of Good nectic or Black Economic Empowerment, issued in terms of section 9(1) of the Broad-Based Neck Empowerment Act and promulgated in the Government Gazette on 9 February 2007;
- 2.12 "trust" means the arrangement through which the property of one person is made over or bequeathed to a trustee to administer such property for the benefit of another person; and
- 2.19 **"trustee"** means any person, including the founder of a trust, to whom property is bequeathed in order for such property to be administered for the benefit of another person.

3. ADJUDICATION USING A POINT SYSTEM

- 3.1 The Bidder obtaining the highest number of total points for the evaluation criteria as enumerated in Section 2 of the RFP will be awarded the contract, unless objective criteria justifies the award to another bidder.
- 3.2 Preference points shall be calculated after prices have been brought to a comparative basis taking into account all factors of non-firm prices and all unconditional discounts.
- 3.3 Points scored will be rounded off to 2 [two] decimal places.

- 3.4 In the event of equal points scored, the Bid will be awarded to the Bidder scoring the highest number of preference points for B-BBEE.
- 3.5 However, when functionality is part of the evaluation process and two or more Bids have scored equal points including equal preference points for B-BBEE, the successful Bid will be the one scoring the highest score for functionality.
- 3.6 Should two or more Bids be equal in all respect, the award shall be decided by the drawing of lots.



4. POINTS AWARDED FOR B-BBEE STATUS LEVEL OF CONTRIBUTION

4.1 In terms of the Preferential Procurement Regulations, 2011, preference points shall be awarded to a Bidder for attaining the B-BBEE status level of contribution in accordance with the table below:

B-BBEE Status Level of Contributor	Number of Points [Maximum 20]
1	20
2	18
3	16
4	12
5	8
6	6
7	4
8	2
Non-compliant contributor	0

- 4.2 Bidders who qualify as EMEs in terms of the 2007 version of the Codes of Good Practice must submit a certificate issued by an Accounting Officer as contemplated in the CCA or a Verification Agency accredited by SANAS or a Register of Auditor. Registered auditors do not need to meet the prerequisite for IRBA's approval for the purpose of conducting verification and issuing EME's with B-BBEE Status Level Certificates.
- 4.3 Bidders who qualify as EMIX in terms of the Revised Codes of Good Practice issued on 11 October 2013 in terms of Government Gazette No. 36928 are only required to obtain a sworn affidavit on an annual basis confirming that the entity has an Annual Total Revenue of R10 million or less and the entity's Level of Black ownership.
- 4.4 In terms of the 2007 version of the Codes of Good Practice, Bidders other than EMEs must submit their original and valid B-BBEE status level verification certificate or a certified copy thereof, substantiating their B-BBEE rating issued by a Registered Auditor approved by IRBA or a Verification Agency accredited by SANAS.
- Government Gazette No. 36928, Bidders who qualify as QSEs are only required to obtain a sworn affidavit on an annual basis confirming that the entity has an Annual Total Revenue of R50 million or less and the entity's Level of Black ownership. Large enterprises must submit their original and valid B-BBEE status level verification certificate or a certified copy thereof, substantiating their B-BBEE rating issued by a Registered Auditor approved by IRBA or a Verification Agency accredited by SANAS.
- 4.6 A trust, consortium or joint venture will qualify for points for its B-BBEE status level as a legal entity, provided that the entity submits its B-BBEE status level certificate.
- 4.7 A trust, consortium or joint venture will qualify for points for their B-BBEE status level as an unincorporated entity, provided that the entity submits their consolidated B-BBEE scorecard as if they were a group structure and that such a consolidated B-BBEE scorecard is prepared for every separate bid.
- 4.8 Tertiary institutions and public entities will be required to submit their B-BBEE status level

- certificates in terms of the specialised scorecard contained in the B-BBEE Codes of Good Practice.
- A person will not be awarded points for B-BBEE status level if it is indicated in the Bid documents 4.9 that such a Bidder intends subcontracting more than 25% [twenty-five per cent] of the value of the contract to any other enterprise that does not qualify for at least the same number of points that such a Bidder qualifies for, unless the intended subcontractor is an EME that has the capability and ability to execute the subcontract.
- 4.10 A person awarded a contract may not subcontract more than 25% [twenty-five per cent] of the value of the contract to any other enterprise that does not have an equal or higher B-BBEE status level than the person concerned, unless the contract is subcontracted to an EME that has the capability and ability to execute the subcontract.
- 4.11 Bidders are to note that in terms of paragraph 2.6 of Statement 000 of the Revised Codes of Good Practice issued on 11 October 2013 in terms of Government Gazete No. 36928, any representation made by an entity about its B-BBEE compliance must be supported by suitable evidence or documentation. As such, Transnet reserves the right o request such evidence or documentation from Bidders in order to verify any B-BB E recognition claimed.

5.

B-BE	BEE STAT	US AND SUBCONTRACTING	
5.1	Bidders	s who claim points in respect of B-BBEE Status Level of Contri	bution must
	comple	te the following:	
	B-BBEE	Status Level of Contributor = [maximum of 20 points	5]
	Note: P	oints claimed in respect of this paragraph 5.1 must be in accordance i	with the table
	reflected	d in paragraph 4.1 bove and must be substantiated by means of a B-B	BEE certificate
	issued b	y a Verification Allenc, accredited by SANAS or a Registered Auditor approv	ed by IRBA or
	a sworn	affidavit in the case of an EME or QSE.	
5.2	Subcon	tracting:	
	Wilito	portion of the contract be subcontracted? YES/NO [delete which is not appli	icable]
•	If VES.	dicate:	
	(i)	What percentage of the contract will be subcontracted?	%
3 Y	(ii)	The name of the subcontractor	
	(iii)	The B-BBEE status level of the subcontractor	
	(iv)	Is the subcontractor an EME?	YES/NO
5.3	Declarat	ion with regard to Company/Firm	
	(i)	Name of Company/Firm	
	(ii)	VAT registration number	
	(iii)	Company registration number	
	(iv)	Type of Company / Firm [TICK APPLICABLE BOX]	
		☐Partnership/Joint Venture/Consortium	
		□One person business/sole propriety	
		□Close Corporations	

		□Company (Pty) Ltd
	(v)	Describe Principal Business Activities
	(vi)	Company Classification [TICK APPLICABLE BOX]
		□Manufacturer
		□Supplier
		□ Professional Service Provider
		□Other Service Providers, e.g Transporter, etc
	(vii)	Total number of years the company/firm has been in business
BID DECLA	ARATI	ON
I/we, the	unde	ersigned, who warrants that he/she is duly authorised to do so on behalf of the
company/	firm, c	ertify that points claimed, based on the 3-BBEE status level of contribution indicated in
paragraph	4 abo	we, qualifies the company/firm for the prescrence(s) shown and I / we acknowledge that:
	(i) ·	The information furnished is true and correct.
	(ii)	In the event of a contract being a barded as a result of points claimed as shown in
		paragraph 6 above, the contractor may be required to furnish documentary proof to the
		satisfaction of Transne, that the claims are correct.
	(iii)	If the B-BBEE status level of contribution has been claimed or obtained on a fraudulent
		basis or any of the conditions of contract have not been fulfilled, Transnet may, in
		addition to any other remedy it may have:
		(a) discuality the person from the bidding process;
		(b) recover costs, losses or damages it has incurred or suffered as a result of that
	✓	person's conduct;
		 cancel the contract and claim any damages which it has suffered as a result of having to make less favourable arrangements due to such cancellation;
· Y	•	(d) restrict the Bidder or contractor, its shareholders and directors, and/or associated
		entities, or only the shareholders and directors who acted in a fraudulent manner,
		from obtaining business from Transnet for a period not exceeding 10 years, after
		the <i>audi alteram partern</i> [hear the other side] rule has been applied; and/or
		(e) forward the matter for criminal prosecution.
WITN	IESSE:	5:
	••••••••••••	
		SIGNATURE OF BIDDER

		DATE:
COMPA	NY NA	ME:

1.

2.

ANNEXURE (B)

The delay damages are	R 500.00	per day
The retention is	%	

1.0 CONTRACTUAL OBLIGATIONS

<u>A:</u>

1.1 This project specification covers Transnet freight rail's requirements for the Removal of existing grit from LEEUSTROOM 3KV DC TRACTION substation and replace with clean sifted washed grit under the control of the Depot Engineer, Bloemingtein.

<u>B:</u>

- 1.1 The Contractor shall not make use of any sub-Contractor to perform the works or parts thereof without prior permission from the Project Manager.
- 1.2 The Contractor shall ensure that a safety representative is at site at all times.
- 1.3 The Contractor shall comply with all applicable legislation and Transnet safety requirements adopted from time to time and instructed by the Project Manager / Supervisor. Such compliance shall be entirely at his own cost, and shall be deemed to have been allowed for in the rates and prices in the contract.
- 1.4 The Contractor shall, in particular, comply with the following Acts and Transnet Specifications:-
 - 1.4.1 The Compensation for Occupational Injuries and Diseases Act, No. 130 of 1993. The Contractor, that produce proof of his registration and good standing with the Compensation Commissioner in terms of the Act.
 - 1.4.2 The Occupational Health and Safety Act (Act 85 of 1993).
 - 1.4.3 The explosive Act No. 26 of 1956 (as amended). The Contractor shall, when applicable, furnish the Project Manager / Supervisor with copies of the permits as thorising him or his employees, to establish an explosives magazine on or near the site and to undertake blasting operations in compliance with the Act.
 - 1.4.4 The Contractor shall comply with the current Transnet Specification E.4E, Safety Arrangements and Procedural Compliance with the Occupational Health and Safety Act, Act 85 of 1993 and Regulations and shall before commencement with the execution of the contract, which shall include site establishment and delivery of plant, equipment or materials, submitted to the Project Manager / Supervisor.
 - 1.4.5 The Contractor shall comply with the current Specification for Works On, Over, Under or Adjacent to Railway Lines and near High Voltage Equipment E7/1, if applicable, and shall take particular care of the safety of his employees on or in close proximity to a railway line during track occupations as well as under normal operational conditions.
- 1.5 The Contractor's Health and Safety Programme shall be subject to agreement by the Project Manager / Supervisor, who may, in consultation with the Contractor, order supplementary and/or additional safety arrangements and/or different safe working methods to ensure full compliance by the Contractor with his obligations as an employer in terms of the Act.

- 1.6 In addition to compliance with clause 1.4 hereof, the Contractor shall report all incidents in writing to the Project Manager / Supervisor. Any incident resulting in the death of or injury to any person on the works shall be reported within 24 hours of its occurrence and any other incident shall be reported within 48 hours of its occurrence.
- 1.7 The Contractor shall make necessary arrangements for sanitation, water and electricity at these relevant sites during the installation of the equipments.
- 1.8 A penalty charge of R500.00 per day will be levied for late completion.
- 1.9 The Contractor shall supply a **site diary** (with triplicate pages). This book shall be used to record any unusual events during the period of the work. Any delays to the work shall also be recorded such as delays caused by poor weather conditions, delays caused by permits being cancelled etc. The appointed Project Manager or Supervisor must countersign such delays. Other delays such as non-availability of equipment from 3rd party suppliers must be communicated to the Project Manager or Supervisor in writing.
- 1.10 The Contractor shall supply a **site instruction book** (with triplicate pages). This book shall be used to record any instructions to the Contractor regarding problems encountered on site for example the quality of work or the placement of equipment. This book shall be filled in by the Project Manager or Supervisor and most be countersigned by the Contractor.
- 1.11 Both books mentioned in 1.9 and 1.10 shall be the property of Transnet Freight Rail and shall be handed over to the Project Manager or Supervisor on the day of energising or handing over.
- 1.12 All processes or the manufacture and assembly of the product components must be subjected to a quality assurance system
- 1.13 The Contractor will assume full re-porsibility for assuring that the products purchased meet the requirements of Transnet Freight Rail for function, performance, and reliability, including purchased products from or
- 1.14 The Contractor shall prove to Transnet Freight Rail that his equipment or those supplied from 3rd party suppliers/manufacturers confirms to Transnet freight rail specifications.
- 1.15 The Contractor will remain liable for contractual delivery dates irrespective of deficiencies discovered during workshop inspections.

2.0 TENDERING PROCEDURE

- 2.1 An a dendum reflecting changes to the project specification and 'Bill of Quantities' shall be forwarded to Contractors after the site meeting and Contractors shall quote accordingly, failure of which will result in disqualification.
- 2.2 Contractors shall duly fill in the attached 'Bill of Quantities'. The prices shall be fixed for the duration of the contract and no escalation will be allowed. Items not reflected in the 'Bill of Quantities', but covered in the project specification or agreed at site meetings, shall be added to the 'Bill of Quantities' by the Contractor and quoted for accordingly.
- 2.3 Contractors shall submit qualifications of staff that will be performing the works. Only qualified technical personnel shall perform the works on the electrical equipment or installations thereof.
- 2.4 During the duration of the contract, the successful Contractor shall be required to inform the Supervisor of any staff changes and provide the qualifications of the replacement staff for approval.
- 2.5 Contractors shall indicate clause-by-clause compliance with the specifications. This shall take the form of a separate document listing all the specifications clause numbers indicating the individual statement of compliance or non-compliance.

- 2.6 Contractors shall motivate a statement of non-compliance.
- 2.7 The successful Contractor shall provide a Gantt or a similar chart showing when the works will be done and energised. This chart shall be submitted to the Project Manager or Supervisor within 14 days after the award of the contract has been made to the successful Contractor.
- 2.8 Where equipment offered does not comply with standards or publications referred to in the specification, Contractors shall state which standards apply and submit a copy in English or certified translation.
- 2.9 Contractors shall submit descriptive literature consisting of detailed technical specifications, general constructional details and principal dimensions, together with clear illustrations of the equipment offered.
- 2.10 During the duration of the contract period, the successful Contractor shall be required to inform the Project Manager / Supervisor of any changes to equipment offered and submit detailed information on replacement equipment for approval prior to it being used on this contract

Works Information

3.0 Description of work

The contractor shall perform the following

- The existing grit in the sub station outdoor yards must be removed 100 mm deep, from transformer's plinth keight and dumped on the site as pointed out by a Transnet Freight Pail representative on site at the substation and spread evenly at the designation site.
- 3.2 The existing grit ontaminated with oil must be dumped separately from the clean grit that it removed from the substation outdoor yard. This site will also be pointed out at substation.
- 3.3 Supply clean sifted washed 23 mm grit (Grit must be inspected by a Transnet Pheight Rail representative on site before off loading). The grit must be spread evenly at 100 mm thickness.

4.0 DURATION OF CONTRACT

It is a requirement that the contract commences within two weeks of award of contract and that the works are completed within four working weeks. No work will be allowed over weekends.

5.0 Safety information

- 5.1 The Contractor shall comply with requirements of safety legislation and regulations in all respects.
- 5.2 Security of all of the Contractor's staff, vehicles, machinery, equipment and materials shall remain the responsibility of the Contractor. The Contractor may use Transnet Freight Rail premises from time to time but the responsibility and cost to provide security, which may be required, shall be for the Contractor's account.

- 5.3 No separate payment shall be made and the cost thereof shall be deemed to be included in the rates tendered. Transnet Freight Rail shall entertain no claims whatsoever in this regard.
- 5.4 The Contractor shall prepare and submit to Transnet Freight Rail at the start of the contract, a comprehensive safety plan which shall also cover the following headings:
- 5.5 Transportation of flammable / explosive materials and / or equipment on the same road or rail vehicle as personnel.

Storing flammable/explosive materials and/or equipment.

Fire prevention and fire fighting plan.

Safety procedures for staff when working on double line sections.

Safe working procedure for all aspects of the operation, inclusive of all moving of machinery by rail if required by the Contractor.

- 5.6 The method of work shall be such that at all times it shall comply with Transnet Freight Rail Specification E7/1.
- 5.7 Normal protection measures in accordance with the Transnet Freight Rail Protection Manual shall apply.
- 5.8 All protection arrangements shall at all times remain under the supervision and responsibility of a Transnet Freight Rail Representative. No work is permitted if an occupation is not approved.

 The Contractor shall not allow any persons on the work site to venture within the structure gauge of any adjacent line when this warning procedure is not operating effectively.
- 5.9 An effective safety procedure to be followed by all personnel on any work site in the case of approaching that traffic shall be compiled by the Contractor and implemented before any work commences. This procedure shall be updated whenever the need arises and any changes shall be communicated to all employees on a work site before work proceeds.
- 5.10 All reasonable steps to effectively prevent the occurrence of veldt fires shall be required from the Contractor. Such fire fighting equipment and resources deemed necessary to effectively fight any veldt fire, which may occur as a result of the work, shall be required at each relay site and shall form part of this contract. The cost to provide such fire fighting equipment and resources shall be deemed to be included in the rates tendered and no separate payment shall be made for this.
- 5.11 No separate payment shall be made for the safety measures and the costs hereof will be deemed to be included in the rates tendered.

6. Training

General

- 6.1 The Contractor shall ensure that all staff working on or with the contract is adequately trained, so as to comply with Transnet Freight Rails relevant safety and quality requirements.
- 6.2 It is the Contractor's responsibility to ensure that his personnel are trained. At the commencement of the contract, Transnet Freight Rail shall assist the contractor with the initial on-the-job training of the staff as specified below, so as to assist the

Contractor to qualify the workers / staff. The Contractor shall ensure that he has a core group of workers with sufficient previous experience to take the lead in undertaking maintenance tasks.

- 6.3 Where the Contractor requires training and Transnet Freight Rail is committed to provide this training, the contractor shall quantify in his tender as to what and how many staff will require training. After award of the contract, the contractor shall then arrange with the appropriate Transnet Freight Rail Production Manager (OHTE), through the Technical Officer, for this training / testing.
- 6.4 The Contractor's Supervisor shall take full charge of the Contractor's resources on the work site. An employee / agent appointed by the contractor, will not act as, or be allowed to take on any responsibility as, the person-in-charge-of-the-occupation. The function of person-in-charge-of-the-occupation is restricted to competent Transnet Freight Rail employees only.
- 6.5 The person-in-charge-of-the-occupation shall be a competent Transnet Freight Rail employee, reporting to the Transnet Freight Rail Deport Engineer. This person shall be responsible for the following on a work site:
 - Taking occupations
 - Cancelling the occupation
 - Communication with Control with regard to occupation matters.
- 6.6 The Transnet Freight Rail Depot Envireer remains ultimately responsible in terms of the requirements of Act 85 for the safe working environment of his own personnel as well as contractor's personnel within the track maintenance environment on his depot.
- The Depot Engineer in therefore also responsible for ensuring that any changes in the Protection Procedures that may occur over time are effectively communicated his own personnel as well as contractor's personnel within the track maintenance environment on his depot

6.8 Electrical awariness, Saucational and competency training

- 6.9 The following training shall be arranged for the Contractors staff:
 - Fection Awareness Training
- 6.10 The electrical awareness training must be arranged beforehand.
- 6.11 The electrical educational and competency training may be arranged for at either a depot's lecture room (Transnet Freight Rail property), or at a venue of the Contractors choice (Contractors cost).
- 6.12 Transnet Freight Rail will provide the Accredited Electrical Trainer, at Transnet Freight Rail cost, provided that an arrangement for the training session required is done beforehand and will fit in with the Trainers training program for the year.

Course	Objective	Duration & trainer	Grade to attend
A) Awareness (Electrical)	To inform all contractors staff working near a machine and on the line on electrified sections of the dangerous situations of high voltage OHTE and substations.	Two-hour on-the-job lecture and training. Accredited Electrical Trainer / Depot's Electrical technical officer.	All workers and staff working on the contract
B) PWC Educational (Electrical)	For the safe working on and with On-track machinery in the vicinity or near exposed High voltage OHTE and substations.	On-the-job training 7 0,25 d	 Workers working on a machine (High risk area's) Operators Machine fitters Area supervisors Contract supervisors
C) COM Competency (Electrical) (To follow A) (PWC)	Work permits safe working procedures under the direct supervision of a responsible representative.	n-the-job training =	Supervisor (Responsible person in charge at machine working)

7.0 TO BE SUPPLIED BY THE CONTRACTOR

- 7.1 Except there otherwise specified, the Contractor shall at his own cost provide all labour,
 - Const mables (including fuel), services and ingredients of every description required for the carrying out and completion of his contractual obligations to the satisfaction of the Technical Officer. This shall specifically include:
- 7.2 The work comprising the operating, fitting and removing of all equipment shall be the responsibility of the Contractor.
- 7.3 The Contractor must ensure that all employees are equipped with PPE.
- 7.4 Transnet Freight Rail Security reserves the right to patrol high security areas wherein the contractor might work.
- 7.5 The Contractor shall be responsible for the transport to site, off-loading, handling, storage and security of all material required for the construction/execution of the works.

8.0 TO BE SUPPLIED BY TRANSNET FREIGHT RAIL

Nil

9.0 INSURANCE OF WORKS

Transnet Freight Rail shall arrange insurance for Public Liability.

Transnet Freight Rail accepts no responsibility for any veldt fires during the execution of the work as stated in the contract.

The contractor shall in his own interest, obtain insurance for his own site establishment, materials, equipment and tools as well as insurance for his motor vehicles and the common law liabilities of the Contractor as an employer, for the duration of the contract

Contract Data Site Information

The works shall be performed at the Leeustroom 3KV DC TRACTION SUBSTATIONS.

ANNEXURE (C)

TRANSNET SOC LIMITED

(Registration no. 1990/000900//30)

SAFETY ARRANGEMENTS AND PROCEDURAL COMPLIANCE WITH THE OCCUPATIONAL HEALTH AND SAFETY ACT (ACT 85 OF 1993) AND APPLICABLE REGULATIONS

1. General

- 1.1 The Contractor and Transnet Limited (hereinafter referred to as "Transnet") are individual employers, each in its own right, with their respective duties and obligations set out in the Occupational Health and Safety Act, Act 85 of 1993 (the Act) and applicable Regulations.
- 1.2 The Contractor accepts, in terms of the General Conditions of Contract and in terms of the Act, his obligations as an employer in respect of all persons in his employ, other persons on the premises or the Site or place of work or on the work to be executed by him, and under his control. He shall, before commencement with the execution of the contract work comply with the provisions set out in the Act, and shall implement and maintain a Health and Safety Pich as described in the Construction Regulations, 2003 and as approved by Transnet, on the Site of place of work for the duration of the Contract.
- 1.3 The Contractor accepts his obligation to complying fully with the Act and applicable Regulations notwithstanding the omission of some of the provisions of the Act and the Regulations from this document.
- Transnet accepts, in terms of the Act, its origations as an employer of its own employees working on or associated with the site or place of work, and the Contractor and Technical Officer or his deputy shall at all times, co-operate in respect or the health and safety management of the site, and shall agree on the practical arrangements and procedures to be implemented and maintained during execution of the Works.
- 1.5 In the event of any disprepancies between any legislation and this specification, the applicable legislation will take precident.

2. Definition

- 2.1 In this streetication any word or expression to which a meaning has been assigned in the Construction regulations, shall have the meaning so assigned to it, unless the context otherwise indicates: -
- 2.2 The work included in this Contract shall for the purposes of compliance with the Act be deemed to be "Construction Work", which, in terms of the Construction Regulations, 2003 means any work in connection with: -
 - the erection, maintenance, alteration, renovation, repair, demolition or dismantling of or addition to a building or any similar structure;
 - the installation, erection, dismantling or maintenance of fixed plant where such work includes the risk of a person falling;
 - (c) the construction, maintenance, demolition or dismantling of any bridge, dam, canal, road, railway, runway, sewer or water reticulation system or any similar civil engineering structure; or
 - (d) the moving of earth, clearing of land, the making of an excavation, piling, or any similar type of work;
- 2.3 **"competent person"** in relation to construction work, means any person having the knowledge, training and experience specific to the work or task being performed: Provided that where appropriate qualifications and training are registered as per the South African Qualifications Authority Act, 1995 these qualifications and training shall be deemed to be the required qualifications and training;

- "contractor" means principal contractor and "subcontractor" means contractor as defined by the 2.4 Construction Regulations, 2003.
- 2.5 "fall protection plan" means a documented plan, of all risks relating to working from an elevated position, considering the nature of work undertaken, and setting out the procedures and methods applied to eliminate the risk;
- "health and safety file" means a file, or other record in permanent form, containing the information 2.6 required to be kept on site in accordance with the Act and applicable Regulations;
- "Health and Safety Plan" means a documented plan which addresses the hazards identified and 2.7 include safe work procedures to mitigate, reduce or control the hazards identified;
- 2.8 "Risk Assessment" means a programme to determine any risk associated with any hazard at a construction site, in order to identify the steps needed to be taken to remove reduce or control such hazard;
- 2.9"the Act" means the Occupational Health and Safety Act No. 85 of 1993

3. Procedural Compliance

- 3.1 The Contractor who intends to carry out any construction all, before carrying out such work, ork si notify the Provincial Director in writing if the construction work:
 - ling a height of 3 metres; or (a) includes the demolition of a structure ex
 - includes the use of explosives to p (b) onstruction work; or
 - (c) includes the dismantling of fixed plant at a height greater than 3m,

and shall also notify the Provincial Director is writing when the construction work exceeds 30 days or will involve more than 300 person days of construction work and if the construction work:-

- (a)
- includes excavation work deeper than 1m; or includes working at a hight greater than 3 metres above ground or a landing. (b)
- The notification to the Provincial Director shall be on a form similar to Annexure A of the Construction 3.2 Regulations, 2003 also shown in Annexure 1 of this Specification. The Contractor shall ensure that a copy of the completed notification form is kept on site for inspection by an inspector, Technical Officer or emplo ee.
- 3.3 The Contract shall, in accordance with the Act and applicable Regulations, make all the necessary ments of competent persons in writing on a form similar to Annexure 2 of this Specification and deliter opies thereof to the Technical Officer. Copies should also be retained on the health and safety
- 3.4 Subcontractors shall also make the above written appointments and the Contractor shall deliver copies thereof to the Technical Officer.
- 3.5 In the case of a self-employed Contractor or any subcontractor who has the appropriate competencies and supervises the work himself, the appointment of a construction supervisor in terms of regulation 6.1 of the Construction Regulations, 2003 will not be necessary. The Contractor shall in such a case execute and sign a declaration, as in Annexure 3, by which he personally undertakes the duties and obligations of the "Chief Executive Officer" in terms of section 16(1) of the Act.
- 3.6 The Contractor shall, before commencing any work, obtain from the Technical Officer an access certificate as in Annexure 4 executed and signed by him, permitting and limiting access to the designated site or place of work by the Contractor and any subcontractors under his control.
- 3.7 Procedural compliance with Act and Regulations, as above, shall also apply to any subcontractors as employers in their own right. The Contractor shall furnish the Technical Officer with full particulars of such subcontractors and shall ensure that they comply with the Act and Regulations and Transnet's safety requirements and procedures.

4. Special Permits

Where special permits are required before work may be carried out such as for hotwork, isolation permits, work permits and occupations, the Contractor shall apply to the Technical Officer or the relevant authority for such permits to be issued. The Contractor shall strictly comply with the conditions and requirements pertaining to the issue of such permits.

5. Health and Safety Programme

- 5.1 The Tenderer shall, with his tender, submit a Health and Safety Programme setting out the practical arrangements and procedures to be implemented by him to ensure compliance by him with the Act and Regulations and particularly in respect of: -
 - (i) The provision, as far as is reasonably practical, of a working environment that is safe and without risk to the health of his employees and subcontractors in terms of section 8 of the Act;
 - (ii) the execution of the contract work in such a manner as to ensure in terms of section 9 of the Act that persons other than those in the Contractor's employment, who may be directly affected by the contract work are not thereby exposed to hazards to their health and sarety;
 - ensuring, as far as is reasonably practical, in terms of sect of the Act that no employee or subcontractor of the Contractor does or omits to do any act which would be an offence for the Contractor to do or omit to do.
- 5.2 The Contractor's Health and Safety Programme shall the based on a risk assessment in respect of the hazards to health and safety of his employees and other persons under his control that are associated with or directly affected by the Contractor's activities in performing the contract work and shall establish precautionary measures as are reasonable and practical in protecting the safety and health of such employees and persons.
- 5.3 The Contractor shall cause a risk assessment contemplated in clause 5.2 above to be performed by a competent person, appointed in writing, before commencement of any Construction Work and reviewed during construction. The Risk Assessments shall form part of the Health and Safety programme to be applied on the site and shall include at least the following:
 - (a) The identification of the risks and hazards that persons may be exposed to;
 - (b) the analysis and evaluation of the hazards identified;
 - (c) a documented Health and Safety Plan, including safe work procedures to mitigate, reduce or control the risks identified;
 - (d) a onitoring and review plan.
- 5.4 Plan shall include full particulars in respect of: -
 - The safety management structure to be instituted on site or place of work and the names of the Contractor's health and safety representatives and members of safety committees where applicable;
 - (b) the safe working methods and procedures to be implemented to ensure the work is performed in compliance with the Act and Regulations;
 - the safety equipment, devices and clothing to be made available by the Contractor to his employees;
 - (d) the site access control measures pertaining to health and safety to be implemented;
 - (e) the arrangements in respect of communication of health and safety related matters and incidents between the Contractor, his employees, subcontractors and the Technical Officer with particular reference to the reporting of incidents in compliance with Section 24 and General Administrative Regulation 8 of the Act and with the pertinent clause of the General Conditions of Contract forming part of the Contract and
 - (f) the introduction of control measures for ensuring that the Safety Plan is maintained and monitored for the duration of the Contract.

- 5.4 The Health and Safety programme shall be subject to the Technical Officer's approval and he may, in consultation with the Contractor, order that additional and/or supplementary practical arrangements and procedures be implemented and maintained by the Contractor or that different working methods or safety equipment be used or safety clothes be issued which, in the Technical Officer's opinion, are necessary to ensure full compliance by the Contractor with his obligations as an employer in terms of the Act and Regulations. The Technical Officer or his deputy shall be allowed to attend meetings of the Contractor's safety committee as an observer.
- 5.5 The Contractor shall take reasonable steps to ensure that each subcontractor's Health and Safety Plan is implemented and maintained on the construction site: Provided that the steps taken, shall include periodic audits at intervals mutually agreed to between the them, but at least once every month.
- 5.6 The Contractor shall stop any subcontractor from executing any construction work, which is not in accordance with the Contractor's, and/or subcontractor's Health and Safety Plan for the site of which poses a threat to the health and safety of persons.
- 5.7 The Contractor shall ensure that a copy of the Health and Safety Plan is available on site for inspection by an inspector, Technical Officer, agent, subcontractor, employee, registered employee organisation, health and safety representative or any member of the health and safety contracts.
- 5.8 The Contractor shall consult with the health and safety committee or, if no health and safety committee exists, with a representative group of employees, on the development, monitoring and review of the Risk Assessment.
- 5.9 The Contractor shall ensure that all employees under its control are informed, instructed and trained by a competent person regarding any hazard and the related work procedures before any work commences, and thereafter at such times as may be determined in the Risk Assessment.
- 5.10 The Contractor shall ensure that all sub-onth ctors are informed regarding any hazard as stipulated in the Risk Assessment before any work commences, and thereafter at such times as may be determined in the Risk Assessment.
- 5.11 The Contractor shall ensure that all visitors to a construction site undergoes health and safety induction pertaining to the hazards prevalent on the site and shall be provided with the necessary personal protective equipment.

6. Fall Protection Plan

- 6.1.1 In the event of the lisk and hazard identification, as required in terms of clause 5.3 of this Specification, revealing risks relating to working from an elevated position the contractor shall cause the designation of a compete, person, responsible for the preparation of a fall protection plan;
- 6.2 The Contractor shall also take such steps to ensure the continued adherence to the fall protection plan.
- 6.3 The fall protection plan shall include:-
 - (a) A Risk Assessment of all work carried out from an elevated position;
 - (b) the procedures and methods to address all the identified risks per location;
 - (c) the evaluation of the employees physical and psychological fitness necessary to work at elevated positions;
 - (d) the training of employees working from elevated positions; and
 - (e) the procedure addressing the inspection, testing and maintenance of all fall protection equipment.

7. Hazards and Potential Hazardous Situations

The Contractor and the Technical Officer shall immediately notify one another of any hazardous or potentially hazardous situations which may arise during performance of the Contract by the Contractor or any subcontractor and, in particular, of such hazards as may be caused by the design, execution and/or location and any other aspect pertaining to the contract work.

8. Health and Safety File

- 8.1 The Contractor shall ensure that a health and safety file is opened and kept on site and shall include all documentation required as per the Act and applicable regulations, and made available to an inspector, the Technical Officer, or subcontractor upon request.
- 8.2 The Contractor shall ensure that a copy of the both his Health and Safety Plan as well as any subcontractor's Health and Safety Plan is available on request to an employee, inspector, contractor or the Technical Officer.
- 8.3 The Contractor shall hand over a consolidated health and safety file to the Technical Officer upon completion of the Construction Work and shall in addition to documentation mentioned in the Act and applicable Regulations include a record of all drawings, designs, materials used and other similar information concerning the completed structure.

ANNEXURE 1

OCCUPATIONAL HEALTH AND SAFETY ACT, 1993

Regulation 3(1) of the Construction Regulations

NOTIFICATION OF CONSTRUCTION WORK

1(a)	Name and postal address of principal contractor:
(b)	Name and tel. no of principal contractor's contact person:
2.	Principal contractor's compensation registration number:
3.(a)	Name and postal address of client:
(b)	Name and tel no of client's contact person or agent:
4.(a)	Name and postal address of designer(s) for the project:
(১)	Name and tel. no of designer(s) contact person:
5.	Name and telephone number of ornacipal contractor's construction supervisor on site appointed in terms of regulation 6(1).
6.	Name/s of principal centre dor's construction sub-ordinate supervisors on site appointed in terms of regulation 6(2).
7.	Exact physical address of the construction site or site office:
8.	Nature of an econstruction work:
9.	Expected commencement date:
10.	Expected completion date:

11.	Estimated maximum numbe	of persons on the construction site:
12.	Planned number of contract	rs on the construction site accountable to the principle contractor:
13.	Name(s) of contractors a	ready chosen.

Priı	ncipal Contractor	Date
	·	' O'
Clie	ent	Date

- * THIS DOCUMENT IS TO BE FORWARDED TO THE DEPARTMENT OF LABOUR **PRIOR**TO COMMENCEMENT OF WORK OF SITE.
- * ALL PRINCIPAL CONTRACTORS THAT QUALIFY TO NOTIFY MUST DO SO EVEN IF ANOTHER PRINCIPAL CONTRACTOR ON THE SAME SITE HAD DONE SO PRIOR TO THE COMMENCEMENT OF WORK

ANNEXURE 2

(COMPANY LETTER HEAD)

OCCUPATIONAL HEALTH AND SAFETY ACT, 1993 (ACT 85 OF 1993):

SECTION/REGULATION:
REQUIRED COMPETENCY:
In terms of I,
representing the Employer) do hereby appoint
As the Competent Person on the premises at
(physical address) to assist in compliance with the Act and the applicable Regulations.
Your designated area/s is/are as follows :-
Date:
Signature :-
Designation :-
ACCEPTANCE OF DESIGNATION
I, do hereby accept this Designation and acknowledge that I
undersion the requirements of this appointment.
Data :
Date:
Signature :-
Designation :-

ANNEXURE 3

(COMPANY LETTER HEAD)

OCCUPATIONAL HEALTH AND SAFETY ACT, 1993 (ACT 85 OF 1993):

DECLARATION

		,		
In terms of the at and obligations as far as is reasonal above Act are pro	s Chief Executive Office bly practicable, ensure	er, defined in Section 1 of that the duties and oblig	the Act and in terms of	lly assuming the duties ction 16(1), I will, as is contemplated in the
Signature :- Date :		1	ONL	
		COR		
	Chin			
z-QY				

ANNEXURE 4

(LETTER HEAD OF BUSINESS DIVISION OR UNIT OF TRANSNET LIMITED)

SITE ACCESS CERTIFICATE

Access to :	(Ar	rea)
Name of Contractor/Builder :-		
Contract/Order No.:	-1.7410/2/	
	1	
The contract works site/area described above are made available.	ilable to you for the carrying out of associate	ed works
In terms of your contract/order with		
(company)		
Kindly note that you are at all times responsible for the c	ontrol and one of the Works Site, and for	r persons
under your control having access to the site.		,
As from the date hereof you will be responsible for complia	new th the requirements of the Occupation	nal Health
and Safety Act, 1993 (Act 85 of 1993) as amended, and	canditions of the Contract pertaining to the s	site of the
works as defined and demarcated in the contract document part thereof.	s including the plans of the site or work area.	is forming
part choron.		
Signed :	Date :	
TECHNICAL OFFICER		_
ACKNOWLEDGEMEN	IT OF RECEIPT	
Name of Contractor Builder		I,
že		
	do hereby acknowledge and acc duties	cept the
and obligations in respect of the Safety of the sit	TANKE TO SEE THE SEE T	pational
Health and Safety Act; Act 85 of 1993.		•
Name :	Designation	:
Signatura (Date :	
Signature :		

ANNEXURE D

E7/1 (July 1998)

SPECIFICATION FOR WORKS ON, OVER, UNDER OR ADJACENT TO FAILWAY LINES AND NEAR HIGH VOLTAGE EQUIPMENT

(This Specification shall be used in Transnet Contracts)

CONTENTS

CLAUSE NO'S	DESCRIPTION	PAGE
1.	DEFINITIONS	3
	PART A - GENERAL SPECIFICATION	
2.	Authority of officers of Transnet	4
3.	Contractor's representatives	4
4.	Occupations and work permits	4
5.	Speed restrictions and protection	5
6.	Roads on Transnet property	5
7.	Clearances	5
8.	Stacking of material	5
9. 10.	Excavation, shoring, dewatering and drainage	5 6
10. 11.	Falsework for structures Piling	6
12.	Underground services	6
13.	Blasting	6
14.	Rail trolleys	7
15.	Signal track circuits	7
16.	Penalty for delays to trains	7
, 0.	1 officially sof dolays to trains	•
	PART B - ADDITIONAL SECIFICATION FOR WORK NEAR HI	GH-VOLTAGE
	ELECTRICAL EQUIPMENT	
17.	General	8
18.	Work on building, of fixed structures	8
19.	Work done on ir outside of rolling stock, including loading and unloading	8
20.	Use of equipment	9
21.	Carping and andling material and equipment	9
22.	Precedutions to be taken when erecting or removing	
	oles, antennae and trees	10
23.	e or water	10
24.	Use of construction plant	10
25.	Work performed under dead conditions under cover	
	of a work permit	10
26.	Traction return circuits in rails	11
27.	Blasting	11
28.	High-voltage electrical equipment not maintained	
	and/or operated by Transnet	11

ANNEXES

1. Horizontal clearances 1 065 mm gauge

- 2. Vertical clearances 1 065 mm gauge
- 3. Clearances 610 mm gauge
- 4. Platform clearances

PREVIEW

<u>1</u> DEFINITIONS

The following definitions shall apply:

<u>Authorised Person</u>. A person whether an employee of Transnet or not, who has been specially authorised to undertake specific duties in terms of Transnet's publication SAFETY INSTRUCTIONS; HIGH-VOLTAGE ELECTRICAL EQUIPMENT, and who holds a certificate or letter of authority to that effect.

Barrier. Any device designed to restrict access to "live" high-voltage electrical equipment.

Bond. A short conductor installed to provide electrical continuity.

Contractor. Any person or organisation appointed by Transnet to carry gullwork on its behalf.

Dead. Isolated and earthed.

<u>Electrical Officer (Contracts)</u>. The person appointed in writing by the responsible Electrical Engineer in Transnet as the person who shall be consulted by the Contractor in all electrical matters to ensure that adequate safety precautions are taken by the Contractor.

<u>Executive Officer</u>. The person appointed by Transnet from time to time as the Executive Officer to act according to the rights and powers held by an obligations placed upon him in terms of the Contract.

High-Voltage. A voltage normally exceeding (00) volts.

<u>Live</u>. A conductor is said to be "live" when it is at a potential different from that of the earth or any other conductor of the system of which it forms a part.

Near. To be in such a position that a person's body or the tools he is using or any equipment he is handling may come within 3 metres or live exposed high-voltage electrical equipment.

Occupation. An authorisation granted by Transnet for work to be carried out under specified conditions on, over under or adjacent to railway lines.

Occupation Between Trails An occupation during an interval between successive trains.

<u>Project Manager</u>. The person or juristic person appointed by Transnet from time to time as the Project Manager, to administer the Contract according to the powers and rights held by and obligations proced upon him in terms of the Contract.

Responsible Representative. The responsible person in charge, appointed by a contractor, who has unlergone specific training (and holds a certificate) to supervise staff under his control to work in, over, under or adjacent to railway lines and in the vicinity of high-voltage electrical equipment.

<u>Technical Officer</u>. The person or juristic person appointed by Transnet from time to time as the Technical Officer, to administer the Contractor's performance and execution of the Works according to the powers and rights held by and obligations placed upon the Technical Officer in terms of the Contract.

<u>Total Occupation</u>. An occupation for a period when trains are not to traverse the section of line covered by the occupation.

<u>Work on.</u> Work undertaken on or so close to the equipment that the specified working clearances to the live equipment cannot be maintained.

Work Permit. A combined written application and authority to proceed with work on or near dead electrical equipment.

PREVIEW CORY

PART A - GENERAL SPECIFICATION

2. AUTHORITY OF OFFICERS OF TRANSNET

- 2.1 The Contractor shall co-operate with the officers of Transnet and shall comply with all instructions issued and restrictions imposed with respect to the Works which bear on the existence and operation of Transnet's railway lines and high-voltage equipment.
- 2.2 Without limiting the generality of the provisions of 2.1, any duly authorised representative of Transnet, having identified himself, may stop the work if, in his opinion, the safe passage of trains or the safety of Transnet assets or any person is affected. CONSIDERATIONS OF SAFETY SHALL TAKE PRECEDENCE OVER ALL OTHER CONSIDERATIONS

3. CONTRACTOR'S REPRESENTATIVES

- 3.1 The Contractor shall nominate Responsible Representative or whom at least one shall be available at any hour for call-out in cases of emergency. The Contractor shall provide the Technical Officer with the names, addresses and telephone numbers of the representatives.
- 3.2 The Contractor guarantees that he has satisfied his self that the Responsible Representative is fully conversant with this specification and that he shall comply with all his obligations in respect thereof.

4. OCCUPATIONS AND WORK PERMITS

- 4.1 Work to be done during total occupation or during an occupation between trains or under a work permit shall be done in a manner decided by the Technical Officer and at times to suit Transnet requirements.
- 4.2 The Contractor shall on anise the Works in a manner, which will minimise the number and duration of occupations and work permits required.
- 4.3 Transnet will not be table for any financial or other loss suffered by the Contractor arising from his failure to contract any work scheduled during the period of an occupation or work permit.
- 4.4 The contactor shall submit to the Technical Officer, in writing, requests for occupations or work permits together with details of the work to be undertaken, at least 14 days before they are required. Transnet does not undertake to grant an occupation or work permit for any particular date, time or duration.
- 4.5 Transnet reserves the right to cancel any occupation or work permit at any time before or during the period of occupation or work permit. If, due to cancellation or change in date or time, the Contractor is not permitted to start work under conditions of total occupation or work permit at the time arranged, all costs caused by the cancellation shall be born by the Contractor except as provided for in clauses 4.6 to 4.8.

- 4.6 When the Contractor is notified less than 2 hours before the scheduled starting time that the occupation or work permit is cancelled, he may claim reimbursement of his direct financial losses caused by the loss of working time up to the time his labour and plant are employed on other work, but not exceeding the period of the cancelled occupation or work permit.
- 4.7 When the Contractor is notified less than 2 hours before the schedule starting time, or during an occupation or work permit, that the duration of the occupation or work permit is reduced, he may claim reimbursement of his direct financial losses caused by the loss of working time due to the reduced duration of the occupation or work permit.
- 4.8 Reimbursement the Contractor for any loss of working time in terms of 4.6 and 4.7, shall be subject to his claims being submitted within 14 days of the event with full details of labour and plant involved, and provided that the Technical Officer certifies that no other work on which the labour and plant could be employed was immediately available.
- 4.9 Before starting any work for which an occupation has been arranged, the Contractor shall obtain from the Technical Officer written confirmation of the date, time and suration of the occupation.
- 4.10 Before starting any work for which a work permit has been arranged, the Responsible Representative shall read and sign portion C of form No. T.1276 signifying that he is aware of the limits within which work may be undertaken. After the work for which the permit was granted has been completed, or when the work permit is due to be terminated, or if the permit is cancelled after the start, the same person who signed partion C shall sign portion D of the T.1276 form, thereby acknowledging that he is aware that he electrical equipment is to be made "live". The Contractor shall advise all his workman accordingly.

5. SPEED RESTRICTIONS AND PROTECTION

- 5.1 When speed restrictions are imposed by Transnet because of the Contractor's activities, the Contractor shall organize and arry out his work so as to permit the removal of the restrictions as soon as possible.
- 5.2 When the Technical Officer considers protection to be necessary the Contractor shall, unless otherwise acreed, privide all protection including flagmen, other personnel and all equipment for the protection of Transnet's and the Contractor's personnel and assets, the public and including trains. Trained will provide training free of charge of the Contractor's flagmen and other personnel performing protection duties. The Contractor shall consult with the Technical Officer, wherever he considers that protection will be necessary, taking into account the minimum permissible clearances set out in appendixes 1 to 4.
- 5.3 The Contractor shall appoint a Responsible Representative to receive and transmit any instruction, which may be given by Transnet personnel providing protection.

6. ROADS ON TRANSNET PROPERTY

The provision of clause 25 of the E.5, General Conditions of Contract, or clause 23 of the E.5 (MW), General Conditions of Contract for Maintenance Works, shall apply to the use of existing roads on Transnet's property.

7. CLEARANCES

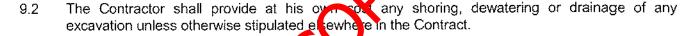
7.1 No temporary works shall encroach on the appropriate minimum clearances set out in Annexure 1 BE97-01 Sheets 1,2, 3 and 5 of 5.

8. STACKING OF MATERIAL.

8.1 The Contractor shall not stack any material closer than 3 m from the centre line of any railway line without prior approval of the Technical Officer.

9. EXCAVATION, SHORING, DEWATERING AND DRAINAGE

9.1 Unless otherwise approved by the Technical Officer any excavation adjacent to a railway line shall not encroach on the hatched area shown in Figure 1.

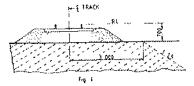


- 9.3 Where required by the Technical Officer, d'awings of shoring for any excavation under or adjacent to a railway line shall be submitted and permission to proceed obtained, before the excavation is commenced.
- 9.4 The Contractor shall prevent incress of water to the excavation but where water does enter, he shall dispose of it as directed by the Technical Officer.
- 9.5 The Contractor shall not block, obstruct or damage any existing drains either above or below ground level unless in has made adequate prior arrangements to deal with drainage.

10. FALSE VORK NOR STRUCTURES

- 10.1 Prayings of falsework for the construction of any structure over, under or adjacent to any railway line shall be submitted to the Technical Officer and his permission to proceed obtained before the falsework is erected. Each drawing shall be given a title and a distinguishing number and shall be signed by a registered professional engineer certifying that he has checked the design of the falsework and that the drawings are correct and in accordance with the design.
- 10.2 After the falsework has been erected and before any load is applied, the Contractor shall submit to the Technical Officer a certificate signed by a registered professional engineer certifying that he has checked the falsework and that it has been erected in accordance with the drawings. Titles and numbers of the drawings shall be stated in the certificate. Notwithstanding permission given by the Technical Officer to proceed, the Contractor shall be entirely responsible for the safety and

Page **8** of **20**



adequacy of the falsework.

11. PILING

11.1 The Technical Officer will specify the conditions under which piles may be installed on Transnet property.

12. UNDERGROUND SERVICES

- 12.1 No pegs or stakes shall be driven or any excavation made before the Contractor has established that there are no underground services, which may be damaged thereby.
- Any damage shall be reported immediately to the Technical Officer or to the official in charge at the nearest station, or to the traffic controller in the case of centralised traffic control.

13. **BLASTING**

- 13.1 The provisions of clause 23 of the E.5, General Conditions of Contract or clause 21 of the E.5 (MW), General Conditions of Contract for Maintenance Work, shall apply to all blasting operations undertaken in terms of the Contract.
- 13.2 The Contractor shall provide proof that he has compiled with the provisions of clauses 10.17.1 to 10.17.4 of the Explosives Regulations (Apr 26 o. 1956 as amended).
- Blasting within 500m of a railway life will only be permitted during intervals between trains. A person appointed by the Technical Officer, assisted by flagmen with the necessary protective equipment, will be in communication with the controlling railway station.

 Only this person will be authorised to give the Contractor permission to blast, and the Contractor shall obey his instructions implicitly regarding the time during which blasting may take place.
- 13.4 The flagmen described 13.3, where provided by Transnet, are for the protection of trains and Transnet property only and their presence does not relieve the Contractor in any manner of his responsibilities in terms of Explosives Act or Regulations, or any obligation in terms of this Contract.
- 13.5 The preson described in 13.3 will record in a book provided and retained by Transnet the dates
 - when each request is made by him to the controlling station for permission to blast;
 - (ii) when blasting may take place;
 - (iii) when blasting actually takes place; and
 - (iv) when he advises the controlling station that the line is safe for the passage of trains.
- 13.6 Before each blast the Contractor shall record in the same book, the details of the blast to be carried out. The person appointed by the Technical Officer and the person who will do the

blasting shall both sign the book whenever an entry described in 13.5 is made.

13.7 The terms of clause 27 hereof shall be strictly adhered to.

14. RAIL TROLLEYS

- The use of rail trolleys or trestle trolleys on a railway line for working on high voltage equipment will be permitted only if approved by the Technical Officer and under the conditions stipulated by him.
- All costs in connection with such trolley working requested by the Contractor shall, unless otherwise agreed, be borne by the Contractor, excluding the costs of any train protection services normally provided free of charge by Transnet.

15. SIGNAL TRACK CIRCUITS

- 15.1 Where signal track circuits are installed, the Contractor shall ensure that no material capable of conducting an electrical current makes contact between rais of a railway line/lines.
- No signal connections on track-circuited tracks shall be severed without the Technical Officer's knowledge and consent.

16. PENALTY FOR DELAYS TO TRAINS

REVIL

16.1 If any trains are delayed by the Contractor and the Technical Officer is satisfied that the delay was avoidable, a penalty will be imposed on the Contractor of R5 000 per hour or part thereof for the period of delay, irrespective of the number of trains delayed.

PART B - ADDITIONAL SPECIFICATION FOR WORK NEAR HIGH-VOLTAGE ELECTRICAL EQUIPMENT

17. GENERAL

- 17.1 This specification is based on the contents of Transnet's publication SAFETY INSTRUCTIONS, HIGH-VOLTAGE ELECTRICAL EQUIPMENT, as amended, a copy of which will be made available on loan to the Contractor for the duration of the contract. These instructions apply to all work near live high-voltage equipment maintained and/or operated by Transnet, and the onus rests on the Contractor to ensure that he obtains a copy.
- 17.2 The Contractor's attention is drawn in particular to the contents of Pal 1, Sections 1 and 2 of the Safety Instructions : High-Voltage Electrical Equipment.
- 17.3 The Safety Instructions: High-Voltage Electrical Equipment cover the minimum safety precautions which must be taken to ensure safe working on or mear high-voltage electrical equipment, and must be observed at all times. Should additional safety measures be considered necessary because of peculiar local conditions, these may be ordered by and at the discretion of the Electrical Officer (Contracts).
- 17.4 This specification must be read in conjunction the and not in lieu of the Safety Instructions: High-Voltage Electrical Equipment.
- The Contractor shall obtain the approval of the Electrical Officer (Contracts) before any work is done which causes or could cause any position of a person's body or the tools he is using or any equipment he is handling, to tome within 3 metres of any live high-voitage equipment.
- 17.6 The Contractor shall regard all high-voltage equipment as live unless a work permit is in force.
- 17.7 Safety precautions taken or parriers erected shall comply with the requirements of the Electrical Officer (Contractr), and shall be approved by him before the work to be protected is undertaken by the Contractor. The Contractor shall, unless otherwise agreed, bear the cost of the provision of the barriers and other safety precautions required, including the attendance of Transnet staff where this is necessary.
- 17.8 No berrier shall be removed unless authorised by the Electrical Officer (Contracts).

18. NORK ON BUILDINGS OR FIXED STRUCTURES

Before any work is carried out or measurements are taken on any part of a building, fixed structure or earthworks of any kind above ground level situated within 3 metres of live high-voltage equipment, the Electrical Officer (Contracts) shall be consulted to ascertain the conditions under which the work may be carried out.

- 18.2 No barrier erected to comply with the requirements of the Electrical Officer (Contracts) shall be used as temporary staging or shuttering for any part of the Works.
- 18.3 The shuttering for bridge piers, abutments, retaining walls or parapets adjacent to or over any

track may be permitted to serve as a barrier, provided that it extends at least 2,5 metres above any working level in the case of piers, abutments and retaining walls and 1,5 metres above any working level in the case of parapets.

19. WORK DONE ON OR OUTSIDE OF ROLLING STOCK, INCLUDING LOADING OR UNLOADING

- 19.1 No person shall stand, climb or work whilst on any platform, surface or foothold higher than the normal unrestricted places of access, namely -
 - (i) the floor level of trucks:
 - (ii) external walkways on diesel, steam and electric locomotives, steam heat vans, etc. and
 - (iii) walkways between coaches and locomotives.

When in these positions, no person may raise his hands or any equipment or material he is handling above his head.

- 19.2 In cases where the Contractor operates his own rail mounted equipment, he shall arrange for the walkways on this plant to be inspected by in Electrical Officer (Contracts) and approved, before commencement of work.
- The handling of long lengths of mate ial such as metal pipes, reinforcing bars, etc should be avoided, but if essential they shar be ban led as nearly as possible in a horizontal position below head height.
- The Responsible Representative shall warn all persons under his control of the danger of being near live high-voltage equipment and shall ensure that the warning is fully understood.
- Where the conditions in 194 to 19.3 cannot be observed the Electrical Officer (Contracts), shall be notified. He will arrange for suitable Safety measures to be taken. The Electrical Officer (Contracts), may in 3.5 discretion and in appropriate circumstances, arrange for a suitable employee of the Contractor to be specially trained by Transnet and at its costs, as an Authorised Ferson to work closer than 3 metres from live overhead conductors and under such conditions as may be imposed by the Senior responsible Electrical Engineer in Transnet.

20. **CSF OF EQUIPMENT**

- 20.1 Measuring Tapes and Devices
- 20.1.1 Measuring tapes may be used near live high-voltage equipment provided that no part of any tape or a person's body comes within 3 metres of the live equipment.
- 20.1.2 In windy conditions the distance shall be increased to ensure that if the tape should fall it will not be blown nearer than 3 metres from the live high-voltage equipment.

- 20.1.3 Special measuring devices longer than 2 metres such as survey staves and rods may be used if these are of non-conducting material and approved by the responsible Electrical Engineer in Transnet, but these devices must not be used within 3 metres of live high-voltage equipment in rainy or wet conditions.
- 20.1.4 The assistance of the Electrical Officer (Contracts) shall be requested when measurements within the limits defined in 20.1.1 to 20.1.3 are required.
- 20.1.5 The restrictions described in 20.1.1 to 20.1.3 do not apply on a bridge deck between permanent parapets nor in other situations where a barrier effectively prevents contact with the live high-voltage equipment.
- 20.2 Portable Ladders
- 20.2.1 Any type of portable ladder longer then 2 metres may only be sed near live high-voltage equipment under the direct supervision of the Responsible Representative. He shall ensure that the ladder is always used in such a manner that the distance from the base of the ladder to any live high-voltage equipment is greater than the fully extended length of the ladder plus 3 metres. Where these conditions cannot be observed, the Electrical Officer (Contracts) shall be advised, and he will arrange for suitable safety in assures to be taken.

21. CARRYING AND HANDLING MATERIAL AND EQUIPMENT

- Pipes, scaffolding, iron sheets, reinforcing hars and other material, which exceeds 2 metres in length, shall be carried completely below head height near live high-voltage equipment. For maximum safety two or more persons so as to maintain it as nearly as possible in a horizontal position should carry such material. The utmost care must be take to ensure that no part of the material comes within 3 metres of any live high-voltage equipment.
- 21.2 Long lengths of ytire of cable shall never be run out in conditions where a part of a wire or cable can come within sametres of any live high-voltage equipment unless the Electrical Officer (Contracts) has been advised and has approved appropriate safety precautions.
- 21.3 The presence of overhead power lines shall always be taken account of especially when comparing cath is lines or cables or aerial cables, stay wires, etc. are being erected above or and ever.

22. PRECAUTIONS TO BE TAKEN WHEN ERECTING OR REMOVING POLES, ANTENNAE, TREES ETC.

- 22.1 A pole may be handled for the purpose of erection or removal near high-voltage equipment under the following conditions:
 - (i) If the distance between the point at which the pole is to be erected or removed and the nearest live high-voltage equipment is more than the length of the pole plus 3 metres, the work shall be supervised by the Responsible Representative.

- (ii) If the distance described in (i) is less than the length of the pole plus 3 metres, the Electrical Officer (Contracts) shall be consulted to arrange for an Authorised Person to supervise the work and to ensure that the pole is earthed where possible. The pole shall be kept in contact with the point of erection, and adequate precautions shall be taken to prevent contact with live high-voltage equipment.
- 22.2 The cost of supervision by an Authorised Person and the provision of earthing shall, unless otherwise agreed, be borne by the Contractor.
- The provisions of clauses 22.1 and 22.2 shall also apply to the erection or removal of columns, antennae, trees, posts, etc.

23. USE OF WATER

23.1 No water shall be used in the form of a jet if it can make contact with any live high-voltage equipment or with any person working on such equipment

24. USE OF CONSTRUCTION PLANT

- 24.1 "Construction plant" entails all types of plant including cranes, piling frames, boring machines, excavators, draglines, dewatering equipment and road vehicles with or without lifting equipment.
- When work is being undertaken in such a position that it is possible for construction plant or its load to come within 3 metres of live high-soltage equipment, the Electrical Officer (Contracts) shall be consulted. He will arrange for an Authorised Person to supervise the work and to ensure that the plant is adequately earthed. The Electrical Officer (Contracts) will decide whether further safety measures are necessary.
- 24.3 The cost of any supervision of an Authorised Person and the provision of earthing shall, unless otherwise agreed be one by the Contractor.
- 24.4 When loads are landed by cranes, non-metallic rope hand lines shall be used, affixed to such loads so as to revent their swinging and coming within 3 metres of live high-voltage equipment.
- 24.5 Classe 24.1 to 24.4 shall apply mutatis mutandis to the use of maintenance machines of any

25. WORK PERFORMED UNDER DEAD CONDITIONS UNDER COVER OF A WORK PERMIT

- 25.1 If the Responsible Representative finds that the work cannot be done in safety with the high-voltage electrical equipment live, he shall consult the Electrical Officer (Contracts) who will decide on the action to be taken.
- 25.2 If a work permit is issued the Responsible Representative shall -
 - (i) before commencement of work ensure that the limits within which work may be carried out

- have been explained to him by the Authorised Person who issued the permit to him, and that he fully understands these limits.
- (ii) sign portion C of the permit before commencement of work;
- (iii) explain to all persons under his control the limits within which work may be carried out, and ensure that they fully understand these limits;
- (iv) care for the safety of all persons under his control whilst work is in progress; and
- (v) withdraw all personnel under his control from the equipment on completion of the work before he signs portion D of the work permit.

26. TRACTION RETURN CIRCUITS IN RAILS

- 26.1 DANGEROUS CONDITIONS CAN BE CREATED BY REMOVING OR SEVERING ANY BOND.
- 26.2 Broken rails with an air gap between the ends, and joints, at which fishplates are removed under "broken bond" conditions, are potentially ethal. The rails on either side of an air gap between rail ends on electrified lines shall not be touched simultaneously until rendered safe by Transnet personnel.
- 26.3 The Contractor shall not break any permanent bonds between rails or between rails and any structure. He shall give the Technical Officer at least 7 days written notice when removal of such bonds is necessary.
- No work on the track while involves interference with the traction return rail circuit either by cutting or removing the rails or by removal of bonds shall be done unless the Electrical Officer (Contracts) is consulted. He will take such precautions as may be necessary to ensure continuity of the return circuit before permitting the work to be commenced.

BLASTING

- The Centra ctor shall obtain the permission of the Electrical Officer (Contracts) before blasting, and hall give at least 14 days notice of his intention to blast.
- 27.2 No clasting shall be done in the vicinity of electrified lines unless a member of Transnet's electrical personnel is present.
- 27.3 The terms of clause 13 hereof shall be strictly adhered to.

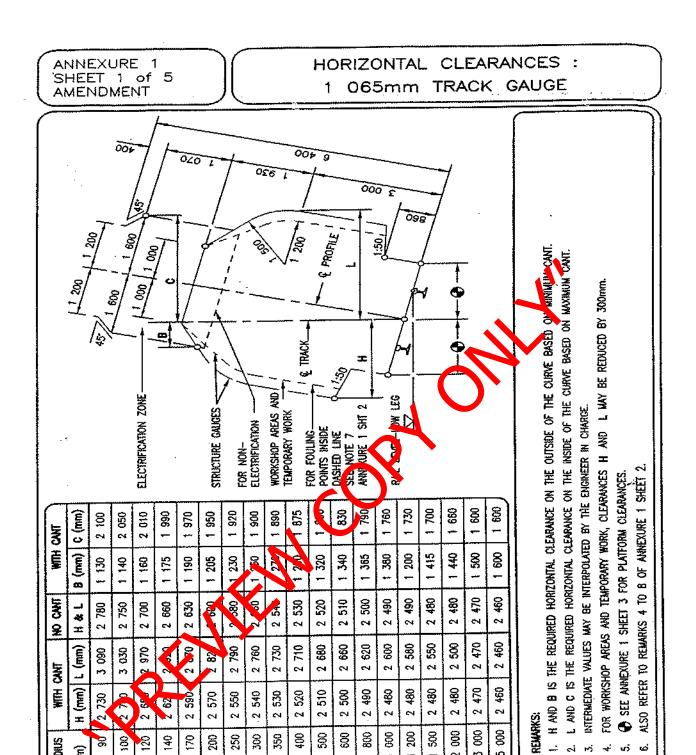
28. HIGH-VOLTAGE ELECTRICAL EQUIPMENT NOT MAINTAINED AND/OR OPERATED BY TRANSNET

Where the work is undertaken on or near high-voltage electrical equipment which is not maintained and/or operated by Transnet, the Occupational Health and Safety Act No. 85 of 1993, and Regulations and Instructions, or the Mines Health and Safety Act (Act 29 of 1996), shall apply.

Such equipment includes: -

- (i) Eskom and municipal equipment;
- (ii) the Contractor's own power supplies; and

(iii) electrical equipment being installed but not yet taken over from the Contractor.



용 8

3

8

N

250 300

\$ 2 23

ã

Sht 1 of 5

E

BE 97-01

350

DATE : JUNE 2000

쫎

엻

8

සූ 용 8 쫎



